

RTS 28 top 5 Venues Report



sepiam

Reporting Period: 1 January 2018 - 31 December 2018

Observation Period: 15 February 2018 - 31 December 2018

Legal Entity Name: SEPIAM

LEI: 969500UWLP8FIBFEGY80

ESMA Class of Financial Instrument

Equities – Shares & Depositary Receipts

Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)

currency derivatives (Swaps, forwards, and other currency derivatives)

The entity is required under MiFID II to take sufficient steps to obtain the best possible result for its clients when executing and must also make disclosures by publishing reports on execution venue selection, further to these obligations as laid out under MiFID II Delegated Regulation C(2016) 3337 and the associated Annex to the Commission delegated Regulation supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution (Regulatory Technical Specification 28).

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Entity			SEPIAM
Class of Instrument			Equities – Shares & Depositary Receipt:
Notification if <1 average trade per business day in the previous year			N
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	
SOCIETE DE BOURSE GILBERT DUPONT 969500UEQ3U3P21QNJ13	5.88 %	5.88 %	
CM CIC Investissement 969500UNUWK5DD7J8P53	94.12 %	94.12 %	
Grand Total	100 %	100 %	

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Entity			SEPIAM
Class of Instrument			Exchange traded products (Exchange traded funds, exchange traded notes and exchange traded commodities)
Notification if <1 average trade per business day in the previous year			Y
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	
CM CIC Investissement 969500UNUWK5DD7J8P53	100.00 %	100.00 %	
Grand Total	100.00 %	100.00 %	

Greenwich dealing

Franck Chatillon

Founder, Managing Partner

Phone: +41 22 317 40 91

franck.challon@greenwichdealing.ch

Stéphane Magnan

Dealing Manager

Phone: +41 22 591 11 60

stephane.magnan@greenwichdealing.ch

Greenwich Dealing Trading Group SA

10, rue de la Tour-Maitresse 1204 Genève - Suisse

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