



sepiam

Reporting Period : 2019-01-01 - 2019-12-31

Legal Entity Name : SEPIAM

LEI : 969500UWLP8FIBFEGY80

ESMA Class of Financial Instrument : All, where applicable

Equities - Shares & Depositary Receipts

Exchange Traded Products

The entity is required under MiFID II to take sufficient steps to obtain the best possible result for its clients when executing and must also make disclosures by publishing reports on execution venue selection, further to these obligations as laid out under MiFID II Delegated Regulation C(2016) 3337 and the associated Annex to the Commission delegated Regulation supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution (Regulatory Technical Specification 28).

RTS 28 top 5 Venues Report

Client	SEPIAM				
Class of Instrument	Equities - Shares & Depositary Receipts				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CM CIC 969500UNUWK5DD7J8P53	82.67%	52.85%	NA	NA	NA
KBC 213800X3Q9LSAKRUWY91	17.33%	47.15%	NA	NA	NA

RTS 28 top 5 Venues Report

Client	SEPIAM				
Class of Instrument	Exchange Traded Products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CM CIC 969500UNUWK5DD7J8P53	56.12%	60.00%	NA	NA	NA
KBC 213800X3Q9LSAKRUWY91	43.88%	40.00%	NA	NA	NA

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Enregistré au "Registre du commerce de Genève" sous le n°: 14972 (fed number CH-660.2.384.013-3)