



sepiam

Reporting Period : 2020-01-01 - 2020-12-31

Legal Entity Name : SEPIAM

LEI : 969500UWLP8FIBFEGY80

ESMA Class of Financial Instrument : All, where applicable

---

Equities - Shares & Depositary Receipts

---

Exchange Traded Products

---

Equity Derivatives - Futures and Options

---

Currency Derivatives - Futures and Options

---

Interest Rates Derivatives - Futures and Options

---

The entity is required under MiFID II to take sufficient steps to obtain the best possible result for its clients when executing and must also make disclosures by publishing reports on execution venue selection, further to these obligations as laid out under MiFID II Delegated Regulation C(2016) 3337 and the associated Annex to the Commission delegated Regulation supplementing Directive 2014/65/EU of the European Parliament and of the Council with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution (Regulatory Technical Specification 28).

RTS 28 Top 5 Brokers and Venues Report

Client	SEPIAM				
Class of Instrument	Equities - Shares & Depositary Receipts				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Kepler Cheuvreux 9695005EOZG9X8IRJD84	89.75%	80.85%	NA	NA	NA
KBC 213800X3Q9LSAKRUWY91	4.32%	8.01%	NA	NA	NA
Oddo 9695002I9DJHZ3449O66	4.29%	2.49%	NA	NA	NA
CM CIC 969500UNUWK5DD7J8P53	0.92%	8.10%	NA	NA	NA
Aurel BGC 5RJTDGZG4559ESIYLD31	0.41%	0.18%	NA	NA	NA

RTS 28 Top 5 Brokers and Venues Report

Client	SEPIAM				
Class of Instrument	Exchange Traded Products				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Oddo 9695002I9DJHZ3449O66	36.52%	19.10%	NA	NA	NA
Aurel BGC 5RJTDGZG4559ESIYLD31	36.05%	21.46%	NA	NA	NA
Kepler Cheuvreux 9695005EOZG9X8IRJD84	21.28%	12.26%	NA	NA	NA
KBC 213800X3Q9LSAKRUWY91	2.70%	25.00%	NA	NA	NA
CM CIC 969500UNUWK5DD7J8P53	2.08%	21.23%	NA	NA	NA

RTS 28 Top 5 Brokers and Venues Report

Client	<b>SEPIAM</b>				
Class of Instrument	Equity Derivatives - Futures and Options				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CACEIS 96950023SCR9X9F3L662	100.00%	100.00%	NA	NA	NA

RTS 28 Top 5 Brokers and Venues Report

Client	<b>SEPIAM</b>				
Class of Instrument	Currency Derivatives - Futures and Options				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CACEIS 96950023SCR9X9F3L662	100.00%	100.00%	NA	NA	NA

RTS 28 Top 5 Brokers and Venues Report

Client	<b>SEPIAM</b>				
Class of Instrument	Interest Rates Derivatives - Futures and Options				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
CACEIS 96950023SCR9X9F3L662	100.00%	100.00%	NA	NA	NA

# Greenwich dealing

Franck Chatillon

Founder, Managing Partner

Phone: +41 22 317 40 91

[franck.chatillon@greenwichdealing.ch](mailto:franck.chatillon@greenwichdealing.ch)

Germain Carton

Dealing Manager

Phone: +41 22 591 11 61

[germain.carton@greenwichdealing.ch](mailto:germain.carton@greenwichdealing.ch)

Greenwich Dealing Trading Group SA

10, rue de la Tour-Maitresse 1204 Genève - Suisse

Enregistré au "Registre du commerce de Genève" sous le n°: 14972 (fed number CH-660.2.384.013-3)